



Dynamic Balance and Neuropathic Changes Following Ankle Proprioceptive Training in Type II Diabetic Patients with Peripheral Neuropathy

Mahdieh Ravand¹, Mehri Ghasemi^{2,*}, Abbas Rahimi³, Mohammad Reza Mohajeri-Tehrani⁴ and Alireza Akbarzadeh Baghban⁵

¹ PhD Candidate, Department of Physical Therapy, Physiotherapy Research Center, School of Rehabilitation, Shahid Beheshti University of Medical Sciences, Tehran, Iran

² Assistant Professor, Department of Physical Therapy, Physiotherapy Research Center, School of Rehabilitation, Shahid Beheshti University of Medical Sciences, Tehran, Iran

³ Professor, Department of Physical Therapy, Physiotherapy Research Center, School of Rehabilitation, Shahid Beheshti University of Medical Sciences, Tehran, Iran

⁴ Professor, Endocrinology and Metabolism Research Center, Endocrinology and Metabolism Clinical Sciences Institute, Tehran University of Medical Sciences, Tehran, Iran

⁵ Professor, Department of Basic Sciences, Proteomics Research Center, School of Rehabilitation, Shahid Beheshti University of Medical Sciences, Tehran, Iran

* **Corresponding author:** Mehri Ghasemi, Department of Physical Therapy, Physiotherapy Research Center, School of Rehabilitation, Shahid Beheshti University of Medical Sciences, Tehran, Iran. Email: mehri_Ghasemi@sbmu.ac.ir

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Abstract

Background: Peripheral neuropathy is one of the major complications of type II diabetes mellitus. Lower limb proprioceptive impairments due to neuropathy can lead to balance disorders in these patients.

Objectives: The present study aimed to investigate postural stability and neuropathic changes following ankle proprioceptive training in type II diabetic patients with moderate neuropathy.

Methods: The present study was conducted on 24 type II diabetic patients with moderate neuropathy (9 females and 15 males) aged 40-65 years (with a mean age of 57.25 years). The treatment consisted of ankle proprioceptive training by the balance board and rocker for 10 consecutive days. Balance indices, including Overall Stability Index (OSI), Anterior-Posterior Stability Index (APSI), and Medial-Lateral Stability Index (MLSI), were measured with Biodex system before and after the treatment, as well as two weeks after treatment in two conditions: condition 1: Eyes open, head straight, without using trunk, pelvis, thigh, and knee constrained orthosis. Condition 2: Eyes closed, head back (hyperextension), with using trunk, pelvis, thigh, and knee constrained orthosis. Plate-based Biodex stability was fixed at levels 6 and 8 for condition 1 and level 8 for condition 2. The severity of neuropathy was assessed using Valk and Michigan questionnaires, as well as light touch sensation. The analysis of variance with repeated measure was used to evaluate alterations in the stability of patients. Furthermore, the correlation of neuropathic changes and stability parameters were assessed by the Pearson correlation coefficients.

Results: Significant improvements were observed in OSI in all tests of condition 1 (Biodex Balance System (BBS) at level 8 (P=0.001) and level 6 (P=0.017), as well as test conditions 2 (level 8; P=0.004). After the treatment, at stability level 8, a significant improvement in the mean values of postural sways in the Anterior-Posterior (AP) direction demonstrated that the ankle strategy was improved in the patients. After the treatment, the scores of the Valk (P=0.02) and Michigan (P=0.001) questionnaires were significantly decreased. After two weeks of follow-up, the observed improvement was maintained in the mean values of balance indices (OSI, APSI) and neuropathy due to treatment.

Conclusion: As evidenced by the obtained results, 10 sessions of targeted ankle proprioceptive training improved stability, neuropathy, and light touch sensation of the foot in type II diabetic patients with moderate neuropathy. Foot somatosensory information is one of the most important causes of balance alterations in these patients.

Keywords: Ankle proprioception, Balance training, Biodex balance system, Peripheral neuropathy, Type II diabetes

1. Background

Peripheral neuropathy is one of the major complications of diabetes (1), leading to progressive loss of vibration, temperature, touch, and proprioception (2), lower limb somatosensory impairment, postural problems, and risk of falls (3). Based on the studies, more than 16% of diabetic patients suffer from balance disorders (4), increasing to 30%-50% with increased severity of the disease (5), reduced nerve conduction velocity (6), lack of ankle reflexes (7), impaired reflex modulation (8), muscle weakness, especially in ankle dorsal and plantar flexors (9), decreased coordination (7), an increase in extra movements to reach the goal (10),

use of inappropriate postural compensatory strategies (8,11), prolonged hyperglycemia, as well as proprioception and exteroception dysfunctions (12). Peripheral neuropathy can lead to balance disorders; moreover, it significantly increases the risk of falls and the appearance of wounds.

Foot sensation and lower leg proprioceptive inputs are two important factors in standing balance, as well as postural control and coordination (11). Bloem et al. (2000) stated that in automatic postural control, afferents of different areas of the body act according to the type of perturbation and the postural needs of individuals. Lower leg proprioception induces reflexes in ankle muscles and helps trigger other automatic postural responses to

the required postural strategy (13). The diminished lower leg proprioception reduces the accuracy and efficiency of balance responses, such as ankle and step strategies, and leads to poor balance in diabetic patients (14). Diabetic patients with neuropathy are likely to maintain their balance using the hip strategies that mainly require vestibular information (15); therefore, the involvement of ankle strategies is necessary for balance improvement.

Proprioceptive training is effective in the improvement of balance and proprioceptive feedback of legs and ankles. Nonetheless, these exercises were generally performed and usually involved different body systems in balance control (16-19). In general balance training and standing activities, the alteration of the pressure and shear stress of the foot can stimulate the mechanoreceptors at higher neuronal levels. However, the Spatio-temporal coordination of the information received from the visual, vestibular, and somatosensory systems facilitates proper postural control (16). The mere involvement of each of these afferents is important and should be considered in the clinical evaluation of postural disorders of patients with diabetic neuropathy. Since these patients are able to compensate for the lack of sensory systems, the effect of sensory disturbance remains hidden for balance control (20).

Increased co-contraction caused by sway movements in proprioceptive training can enhance mechanoreceptors in muscles, resulting in motor learning and balance improvement (21). In their study, Chong et al. reported that the upper segments have a greater contribution to balance control, compared to foot proprioception, by decreasing the visual and vestibular inputs during proprioceptive training in healthy participants (17). Therefore, the capacity of motor learning and the role of central motor programming are significant in healthy individuals. On the one hand, it is difficult to isolate somatosensory disturbances in controlling the balance of patients with diabetic neuropathy, and there is a regional interdependence between the foot and higher joints (22,23). There exists no study on the effect of mere proprioceptive training on neuropathy in patients with type II diabetes, and many studies referred to a combination of proprioception, balance, strength, whole-body function, especially the trunk, with the interplay of sensory systems and muscle-strengthening (24,25).

2. Objectives

In light of the aforementioned issues, the present study focused on the dynamic balance and neuropathic changes in type II diabetic patients with peripheral neuropathy following targeted ankle training.

3. Methods

3.1. Study design

This study was approved by the local Ethics Committee of the Shahid Beheshti University of Medical Sciences (Ethical code: IR.SBMU.RETECH.REC.1397.589). This semi-experimental, single-blinded study was conducted on 24 type II diabetic patients with moderate neuropathy (15 males and 9 females) aged 40-65 years old. The patients were recruited according to inclusion and exclusion criteria by endocrinologist and metabolism physician.

The inclusion criteria were as follows: 1) type II diabetes with a minimum duration of 5 years (11), 2) Fasting Blood Sugar (FBS) ≥ 7 mmol/l or 126 mg/dl (19), 3) Glycated hemoglobin (HbA1c) between 7% and 9% (26), 4) Body Mass Index (BMI) between 25 and 29/9 kg/m² (27), 5) neuropathy diagnosed by a score higher than 2 out of the first part and higher than 1 out of the second part in the Michigan Neuropathy Screening Instrument (MNSI) (25) and score between 10-18 in the Valk questionnaire (28), 6) ability to ambulate at least 10 meters without an assistive device (29), 7) strength of ankle dorsal/plantar flexors, invertors/evertors ≥ 3 by manual muscle testing (29), 8) at least 20/40 score in snellen dominant eye test chart (26), 9) lack of foot and ankle ulcers at the time of the study (21), 10) lack of lower extremity severe pain at the time of the study (21), and 11) lack of regular physical training and physiotherapy intervention in the last three months (30). On the other hand, the exclusion criteria entailed: 1) lower extremity fractures and dislocation, 2) surgery or lower extremity amputation, 3) foot ulcers at study time, 4) cardiac autonomic neuropathy, 5) non-diabetic neuropathy, 6) vestibular system disorders, 7) internal ear infections, and 8) other balance disorders (30). Since condition 2 was assessed in the head hyperextension position, the vertebral artery involvement test was performed for all participants, and they were excluded if the results were positive.

3.2. Measurements

3.2.1. Neuropathy assessment

The presence and severity of neuropathy were assessed based on the Valk and Michigan questionnaires.

The Valk polyneuropathy questionnaire is a validated test of sensory symptoms, being widely used for monitoring neuropathy in clinical trials. This instrument consists of 10 items on sensory changes (n=6) and neuropathic pain (n=4), with scores ranging from 0-33. The scores of 0 and 33 represent no neuropathy to severe neuropathy, respectively. The scores of 10-18 are considered moderate neuropathy (28).

The high reliability and accuracy of MNSI have made it a useful screening instrument for diabetic

patients with neuropathy. The first part of the MNSI contains 15 items on feelings of pain, numbness, and temperature. Items 4 and 10 which examine circulatory disorder and general anesthesia, respectively, are not considered for scoring patients. The second part includes a brief physical examination of the legs and feet, vibration perception test, Achilles tendon reflex, and monofilament test. The sum of scores for each abnormality ranges from 0-1 (31). The scores higher than 7 and 2.5 in the first and second part of the questionnaire, respectively, are indicative of severe neuropathy (32).

In the present study, vibration perception and monofilament tests were used as a part of MNSI. The vibration perception test was measured by a 128 Hz tuning fork on the big toe, which measures the function of large nerve fibers (33). The vibration score is considered present if there is a difference of fewer than 10 seconds between the time of interruption of vibration perception in the patient's toe and examiner's fingers, reduced if it lasts for 10 seconds or more, and absent if the patient feels nothing (34). Based on monofilament test considerations, in the current study, sensory perception testing was performed with the Semmes-Weinstein (SW) 10-g monofilament (35). The light-touch sensation by a modified SW 10-g monofilament with very soft hair on the back evaluated patients' feet, measuring the function of small nerve fibers. The patient lay down and closed his/her eyes, and correct or incorrect answers were recorded (26).

3.2.2. Dynamic balance assessments

Dynamic balance assessment was performed with Biodex Balance System (BBS) (model 945-300; Shirley, New York) in two different stability levels. The BBS calculates Anterior-Posterior Stability Index (APSI), medial-lateral stability index (MLSI), and Overall Stability Index (OSI), which indicates the degrees of tilt about the Anterior/posterior (AP) and Medial-Lateral (ML). Participants were tested in two standing positions on two legs in the following two programs: condition1: Eyes open, head straight, without using trunk, pelvis, thigh, and knee constrained orthosis. Condition 2: Eyes closed, head back, with using trunk, pelvis, thigh, and knee constrained orthosis. In condition 1, patients were asked to look at a fixed point in the center of the screen. The initial foot position on the platform was saved and used during the later sessions. After unlocking the platform, the participant was told to maintain his/her balance for 20 sec and perform three repetitions at the desired stability level with 30 sec of rest in between; thereafter, the average of indices in all three tests was selected. The therapist stood behind the patient to prevent falling on the BBS. The tests controlled by the therapist to keep the patient from falling were eliminated, and the

reassessment was performed after the break. All evaluations were performed in four sessions, including before the treatment [baseline (session 1) and two weeks of baseline (session 2)], after treatment (session 3), and two weeks follow-up (session 4). Moreover, repeated measurements were taken before treatment to eliminate the effect of time.

In the present study, the Biodex instability level was fixed at 6 and 8 (level 8 is more stable than level 6) for condition 1 and level 8 for condition 2 since patients had no balance at stability levels below 6. The effect of vestibular information on postural control is reduced in head hypertension (36,37). In a pilot study, patients with eyes closed and head extension were evaluated in test condition 2. The head extension to 40° was optimally challenging with minimal reliance on the vestibular system. Two male and female orthosis of the knight Tailor type were used in the range of BMI, extending from the thoracic to the pelvic region, which was connected to the thigh and knee joints from both sides. This brace was designed to reduce movement in the joints above the ankle (Figure 1).

3.3. Treatment planning

The ankle proprioceptive training included exercises on the ankle balance board with standing on two legs and the rocker board in both dorsal/plantar flexion and left/right directions for 10 consecutive days. The results of a study conducted by Akbari et al. revealed that 10 sessions are optimal for proprioceptive training (16). The pattern of training progression during the sessions was eyes open and head forward, eyes closed and head forward, eyes open and head back (hyperextension), and eyes closed and head back. Each exercise was performed 5 times, each taking 15 seconds with 45-second rest intervals. The intervention was provided based on the patient's development, as increasing the exercise duration and decreasing rest time by approximately 5

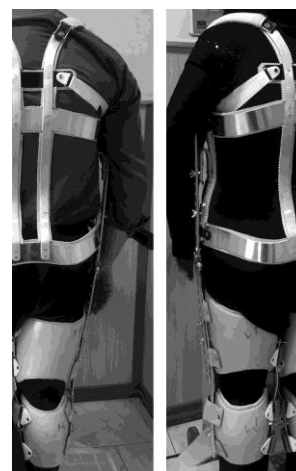


Figure 1. Thoracolumbosacral orthosis with an additional thigh and knee constraint

sec for every two sessions. The difficulty of the task was also increased with minimal reliance on the vestibular and visual systems during the sessions and decreasing the amount of external support. The protocol described by Chong et al. was employed in the present study (17). During the study period, participants also received a walking program (three times a week for 45 min) and general instruction of blood sugar control (38).

3.4. Statistical analysis

Statistical analysis was performed in SPSS software (version 25) (IBM Corp., Armonk, N.Y., USA). Kolmogorov-Smirnov analysis was used to check the normality of data distribution. The analysis of variance (ANOVA) with repeated measures was used to evaluate alterations in the stability of patients in different conditions. Pearson correlation coefficients were used to investigate the correlation between stability variables and other parameters.

4. Results

A total of 24 patients participated in the present study. The demographic and descriptive characteristics of patients are presented in Table 1. After training (Session 3), patients' neuropathic indices, such as mean scores of Valk and Michigan questionnaires, vibration perception sensation, and light touch sense of the right foot, showed a significant improvement compared to the time before training (Session 1 and 2) (Table 2). The results of vibration perception and light touch sensation tests are illustrated in Figure 2.

Table 1. Description of patients' baseline characteristics (n = 24)

Characteristic	Value (Mean ± SD)
Males/Females	15/9
Age (years):	57.53±8.44 /
Males/ Females/ Total	56.78±5.11 / 57.25±7.25
Fasting blood sugar (mg/dL)	171.14±37.18
(%) Glycated hemoglobin	7.97±0.68
Duration of disease (years)	9.13±3.19
Body mass index (Kg/m ²)	28.10±1.19
Systolic blood pressure in sitting (mm Hg)	13.46±1.21
Diastolic blood pressure in sitting (mm Hg)	8.58±1.05
Systolic blood pressure in supine (mm Hg)	12.08±1.31
Diastolic blood pressure in supine (mm Hg)	7.46±1.10

The average BBS indices, including OSI, APSI, and MLSI, decreased after 10 sessions of treatment (Table 3). After training, the mean OSI in condition 1 at stability levels 8 (P=0.001), and 6 (P=0.017) and in condition 2 at stability 8 (P=0.004) demonstrated a significant improvement, compared to before the training. In addition, OSI mean and standard deviation values in condition 2 were higher than condition 1. In a general case of conditions 1 and 2, the trend was significant with a p-value lower than 0.05.

The comparison of APSI at stability level 8 displayed a significant improvement in condition 1 (P=0.001) and condition 2 (P= 0.007) after training, compared to before the training; nonetheless, it was not significant at stability level 6 (P=0.115). In all conditions of stability level 8, there was a significant difference between before training (session 1 & 2) and follow-up (session 4) of OSI and APSI. After the

Table 2. Comparison of Valk and Michigan questionnaires, Vibration perception and Light touch sensation within the group before and after balance training and after two weeks (follow up) (n=24)

variables	Before Training (Session 1&2)	After Training (Session 3)	Follow Up (Session 4)	P-value (After Training) (Session 1&2) and (Session 3)	P-value (Follow Up) (Session 1&2) and (Session 4)	P-value (Session 3) and (Session 4)
Michigan scores	5.67±1.08	3.43±0.79	3.25±0.81	0.001	0.001	0.078
Valk scores	14.94±1.17	13.58±2.3	9.75±2.3	0.02	0.008	0.048
Vibration perception-R	0.41±0.19	0.88±0.22	0.81±0.32	0.001	0.001	0.377
Vibration perception-L	0.66±0.25	0.83±0.17	0.80±0.21	0.005	0.004	0.412
Light touch sensation-R	1.48±0.63	1.92±0.28	1.92±0.28	0.001	0.001	-
Light touch sensation-L	1.77±0.39	1.92±0.28	1.88±0.45	0.095	0.297	0.328

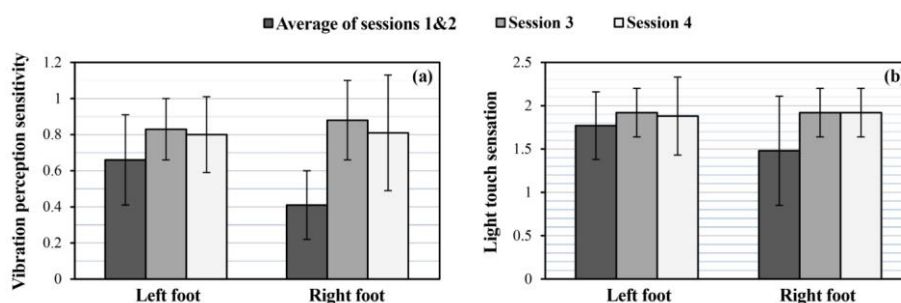


Figure 2. Comparison of Mean and standard deviation for Vibration perception sensitivity (a) and Light touch sensation (b) of left and right foot before and after balance training, as well as after two weeks (follow up)

Table 3. Comparison of stability indices within the group before and after balance training (n=24)

Condition	Index	Before Training (Session 1&2)		After Training (Session 3)		Follow Up (Session 4)		P-value (After Training) (Session 1&2) and (Session 3)		P-value (Follow Up) (Session 1&2) and (Session 4)		P-value (Session 3) and (Session 4)	
		Level 8	Level 6	Level 8	Level 6	Level 8	Level 6	Level 8	Level 6	Level 8	Level 6	Level 8	Level 6
1	Level	Level 8	Level 6	Level 8	Level 6	Level 8	Level 6	Level 8	Level 6	Level 8	Level 6	Level 8	Level 6
	OSI	3.51±1.09	5.03±1.4	2.73±1.19	4.14±.68s	2.58±0.98	1.63±3.71	0.001	0.017	0.001	0.004	0.204	0.174
	APSI	2.49±0.91	3.85±1.42	1.54±0.98	3.21±1.83	0.93±1.75	1.16±2.67	0.001	0.115	0.001	0.102	0.292	0.199
	MLSI	2.54±0.88	3.07±1.31	2.27±1.01	2.36±1.38	0.76±1.92	1.49±2.59	0.076	0.028	0.112	0.156	0.122	0.365
2	Level	Level 8	Level 8	Level 8	Level 8	Level 8	Level 8	Level 8	Level 8	Level 8	Level 8	Level 8	Level 8
	OSI	8.62±2.69		6.87±2.33		2.6±6.41		0.004		0.004		0.292	
	APSI	6.97±2.73		5.21±2.3		2.29±4.5		0.007		0.001		0.182	
	MLSI	4.85±1.81		4.37±1.73		2.21±4.36		0.134		0.212		0.981	

OSI= Overall Stability Index, APSI= Anterior-Posterior Stability Index, MLSI= Medial-Lateral Stability Index.

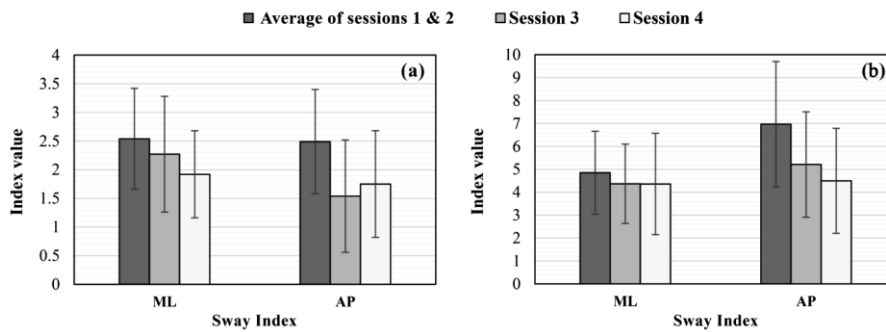


Figure 3. Comparison of Mean and standard deviation for Anterior-Posterior and Medial-Lateral displacements at stability level 8 and condition 1 (a) and condition 2 (b) before and after balance training, as well as after two weeks (follow up)

treatment, the difference in all balance and neuropathy variables between sessions 3 and 4 was not significant, except for the Valk (P=0.048) questionnaire. At this time, the results of the light touch of the right foot were similar to those of the post-treatment session and showed no difference (Table 2 & 3). In general, after the training, in conditions 1 and 2, the mean and repeated measurements of stability level 8 demonstrated a significant decrease in APSI values, while this decrease was not significant in MLSI values (Table 3). Figure 3 displays the change in MLSI and APSI for recurrent measurements.

The MNSI was significantly correlated with FBS (P=0.002) and the light touch of the right foot (P=0.001); however, no significant correlation was observed between the MNSI and diabetes duration (P=0.87). In conditions 1 and 2, the correlation between FBS and OSI (P=0.14; P=0.83; P=0.53) was insignificant. The relationship between BMI and OSI was only significant in condition 2 (P=0.046). In conditions 1 and 2, the correlation between the MNSI and OSI (P=0.001; P=0.003; P=0.036), as well as Valk and OSI was significant (P=0.002; P=0.01; P=0.041).

5. Discussion

The main finding of the current study was that targeted ankle proprioceptive training and stimulation of the ankle strategy via small ankle perturbations and movements improved dynamic balance and neuropathic changes in type II diabetic patients with moderate neuropathy. It can be attributed to decreased ankle proprioception in

neuropathic patients that might cause balance disorders (14,39), which is further impaired by the reduction of other afferents involved in balance.

Research indicated that the development of an exercise program based on the specificity of training and their functional limitations is necessary for the attainment of desired results (40,41). In the present study, exercise planning and instructions were individually performed based on patients' abilities and conditions. The proprioceptive training protocol used in this study was consistent with the principle of specificity of training. In the exercises of this study, ankle proprioception was not completely separate from hip and knee activity. Based on the results of a study performed by Ko et al., in a weight-bearing position, activities of other joints as a disturbing factor are involved in the study of ankle afferents (42). Although the involvement of other joints could affect the results, the weight-bearing position is more functional. Moreover, the reliability of the ankle proprioception afferents is increased due to foot pressure sensation and higher spindle activity (29).

In contrast to the current study, Kiers et al. reported that ankle proprioception is not targeted by exercises on unstable surfaces, and other systems or central mechanisms play an intermediate role (43). It is worth noting that the referred laboratory study was performed on foam in people with ankle sprain injury, and various factors, such as task, lesion, learning mechanisms, and cognitive factors, have not been considered.

Furthermore, evaluation in different conditions provides a deeper understanding of the relationship between sensory and motor afferents (44).

Assessment of patient balance in dynamic conditions challenges the feed-forward control system; therefore, motor adaptation is performed to compensate for sensory deficits (45). Consequently, it seems that patients during the study period were able to predict changes and compensate for the manipulation of other sensory systems through learning new motor adaptation mechanisms.

In both conditions 1 and 2, reduction of OSI, APSI, and MLSI was observed after 10 sessions of proprioceptive training, compared to pre-treatment. After treatment at condition 1 and stability level 8, the mean alteration in the APSI was about 38%, while the MLSI showed a change of about 11%. Moreover, in condition 2, without visual and vestibular inputs, patients demonstrated less postural stability in all directions, indicating the role of modulation of the visual and vestibular systems in maintaining the posture of patients (46). One explanation is that patients rely more on other systems to compensate for foot somatosensory impairment. Nevertheless, since patients fear falling, in condition 2, they had to pay more attention to the foot sensory information which is impaired in people with diabetes.

In addition, in condition 2 with the restriction of higher joints, the mean changes in the APSI and MLSI were about 25% and 9%, respectively. These findings are in line with those obtained by Fortaleza who reported that in diabetic neuropathic patients with sensory impairment of ankle, AP sway was more affected by visual input than ML (44). As a result, the restriction of visual and vestibular systems, as well as the movement of the upper segments, caused more significant changes in AP direction.

It was revealed that ML displacement indicates postural stability at the level of the hip, and AP displacement suggests stability at the level of the ankle (47). In the present study, greater alterations of APSI were probably due to increased ankle stability and strengthening the ankle strategy in our exercise training. Moreover, the lack of improvement in APSI at stability level 6 indicated that our ankle strategy training alone may not be sufficient in balance control, and the hip strategy may be required. It can be ascribed to greater surface displacements, which can increase surface shear forces and hip muscle activity. Similar results were observed in a study carried out by Horak et al. (1990) (15).

Significant results of vibration perception, light touch sensation, as well as the severity of neuropathy pointed to improved function of small and large fiber neuropathy. Although not all patients showed a complete recovery of protective sensation evaluated by monofilament test, most of them reported a marked decrease in the number of sites involved in the foot. Before the treatment, the significant relationship of OSI with Valk and Michigan questionnaires represented the destructive effects of neuropathy on balance, while this relationship after

treatment indicated the positive effects of neuropathy on balance.

The findings of the present research were in agreement with those reported in previous studies (10,16,21) regarding the major role of lower limb somatosensory in the balance control of diabetic patients. Previous studies referred to delayed onset of muscle activity in response to unexpected perturbations of the support surface in these patients (48,49). Sensory impairments associated with neuropathy can increase time delay in the feedback loop. Moreover, they make it difficult to respond to the external perturbations, and consequently, disturb the balance control (50). The results of a study performed by Kim et al. revealed that increased accuracy of ankle proprioceptive threshold reduces postural sways (50). Therefore, it is possible that the development of neuromuscular function following exercise improves patients' balance. Furthermore, an improvement might be achieved in ankle range of motion or stiffness. However, these hypotheses were not investigated in the current study. It is believed that regardless of the presence of visual and vestibular input, the foot somatosensory deficits should be immediately considered since the compensation of visual and vestibular afferents maintains balance.

Despite the attainment of desired results in the present study, it seems that two weeks follow-up period was insufficient for the assessment of treatment stability, and more time was needed. Furthermore, a significant decrease in Valk questionnaire scores two weeks after the treatment may be attributed to the reduction of neuropathic pain and the frequency of occurrence of their symptoms. Although physical activity improves the proprioception of the lower extremity, the effects of learning during the test should be considered. In the present study, the manual muscle test was not sufficient alone. Moreover, the measurement of motor nerve conduction velocity was not performed to confirm the possibility of motor myopathy. In addition, the effect of patients' cognitive factors on balance and ability to overcome perturbations was not investigated. When patients had similar BMI, fat mass distribution was not assessed as an effective factor in balance indices. Although in the current study, slow and small ankle movements were used purposefully with an emphasis on ankle strategy, the strategy can change to maintain balance if necessary.

6. Conclusion

The enhancement of stability in diabetic patients with moderate neuropathy was observed in all test conditions during sessions. After training using the ankle proprioceptive approach, the improvement of the APSI was significant, pointing to the importance of ankle strategy in these patients. Regardless of the

presence or absence of visual and vestibular systems, the foot somatosensory afferents appeared to perform a crucial role in balance control.

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Footnotes

Conflicts of Interest: The authors declare that they have no conflict of interest regarding the publication of the present article.

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